|  |
| --- |
| **ADT Facility Inv CPS** |

**Case Number: Case Name:**

**Assessment Number:**

**Section 1: Assessment Summary**

|  |
| --- |
| Name:  Role:  Refused to be interviewed  Unable to be interviewed |

**Summary of current allegations/Type of maltreatment alleged:**

**Section 2: Facility**

|  |
| --- |
| **Facility Type**  Day Care  Day Treatment  Crisis Stabilization Unit  Hospital  Nursing home (includes FCH, PC, Intermediate and Skilled)  Other  Psychiatric Hospital  Residential Treatment Facility  School |

**Notes**

|  |
| --- |
|  |

|  |  |
| --- | --- |
| **Prior Facility History**  No prior reports or referrals  Prior reports resource linked |  |
| Prior substantiated referral/s |  |
| Prior unsubstantiated referral/s |  |

**Notes**

|  |
| --- |
|  |

|  |  |
| --- | --- |
| **Risk Factors**  Chemical/physical restraint used without physician’s order  Inadequate training for direct care staff  Inappropriate SCM or other physical restraint used  Insufficient staffing pattern to meet resident’s needs  No de-escalation techniques used prior to restraint  No or inadequate staff policies  Physician orders not implemented  Prescribed medications administer inaccurately  System Failures led to abuse/neglect | **Protective Factors**  Appropriate SCM or other physical restraint used  Adequate staffing pattern to meet resident’s needs  Chemical/physical restraint used with physician’s order  De-escalation techniques used prior to restraint  Direct care staff appropriately trained  Physician orders implemented  Staffed have been trained on facility policies |
|  |
|  |

**Notes**

|  |
| --- |
|  |

**Section 3: Child/Youth Assessment (Complete for each child)**

|  |
| --- |
| **Intake ID: Case: (Case Name) Individual:** |

|  |  |
| --- | --- |
| **Interview** | |
| **Interview**  Refused to be interviewed  Unable to be interviewed | **Native American**  οNo  οUnknown  οYes  οDeclined to disclose |

|  |  |
| --- | --- |
| **Child Physical/Mental Health** (check all that apply) | |
| **Risk Factors**  Hearing or vision impaired  History of seizures  Medical diagnosis requiring life sustaining measure  Medical diagnosis requiring ongoing care  Medical issues (asthma, broken arm, severe allergy)  Mental health diagnosis ongoing medications  Physical disability  Requires psychotropic meds to function  No Risk Factors | **Protective Factors**  No physical/mental health issues  Received care for identified mental health issues  Receives care for identified medical issues  Up to date on immunizations |

|  |  |
| --- | --- |
| **Child Development/Education** (check all that apply) | |
| **Risk Factors**  Developmentally delayed  Difficulty communicating needs  Educationally delayed/IEP not utilized  Is not potty trained or unable to use toilet  Lack of muscle control, motor skills  Limited verbal ability or non-verbal  Non-mobile or limited mobility  Not attached to adult caregiver  Poor social skills/peer relations  Requires assistance for dressing/bathing  No risk factors | **Protective Factors**  Able to dress/bath self  Child receiving services for delay  Developmentally on track  Educationally on track  Good social skills/peer relations  Secure attachment to adult caregiver |

|  |  |
| --- | --- |
| **Child Behaviors** (check all that apply) | |
| **Risk Factors**  Alcohol use/abuse  AWOL history/risk  Bullying  Can’t focus/hyperactive  Destruction of property  Doesn’t follow rules/oppositional  Drug use/abuse  Encopresis/enuresis not due to age  Escalating negative behaviors  Expulsion/suspensions from school  Fire setting  Gang involvement  Has harmed self or others  Past victim of abuse/neglect  Previous juvenile court involvement  Rages/tantrums  Requires extensive supervision  Sexually reactive/Sexually acting out  Sexually active  Threatens to harm self or others  Torturing/killing small animals  Truancy/ skipping school  No Risk Factors | **Protective Factors**   Behavioral issues within normal range for child’s age  Child is responding to services provided   Receives services for identified behavioral  indicators |

|  |
| --- |
| Describe child and any factors that need further explanation: |
|  |

**Section 4: Adult Assessment (Complete for each adult)**

|  |
| --- |
| **Intake ID: Case: (Case Name) Individual:** |

**Interview**

|  |  |
| --- | --- |
| **Interview** **Native American** | |
| Refused to be interviewed  Unable to be interviewed | οNo  οUnknown  οYes  οDeclined to disclose |

|  |  |
| --- | --- |
| **Adult Health and Functioning**  **Risk Factors**  Alcohol abuse  Attention seeking  Dishonest and/or manipulative  Disregard for others’ safety or wellbeing  Drug abuse  Hostile to authority figures or service providers  Impulsive or unpredictable  Intellectual or cognitive disability  Irrational or disconnected from reality  Lacks insight into their own behavior  Mental health issue that affects functioning  Paranoid  Physical disability or debilitating illness  Selfish, self-centered decision-making  Unable to apply logic to solve problems  Unable to assess (due to inability to interview)  No Risk Factors | **Protective Factors**  Accepts assistance that enhances functioning  Candid and/or cooperative  Copes or functions despite a disability  Demonstrates logic/reasoning ability  No mental health issues  No physical health issues  Primary relationships are stable  Realistic awareness of self and reality  Respects the rights and feeling or others  Seeks and give affection to loved ones |

|  |  |
| --- | --- |
| **Ability to Manage Daily Life and Stress (High Risk Behaviors)** | |
| **Risk Factors**  Abuses substances (drugs/alcohol to escape or deal with stress  Blames others for problems  Displays of frustration/anger cause injury or likelihood of harm  Displays of frustration/anger out of proportion to situation  Escalating frustration/anger  Lack of realistic long term goals  Overwhelmed/discouraged by responsibilities  Parasitic lifestyle: relies on others to provide food, housing, etc.  Poor self-control  Rapidly changing affect or emotional displays  Serial relationships  Unable or unwilling to plan ahead  Unable to assess (due to inability to interview)  Unstable/chaotic relationships  No Risk Factors | **Protective Factors**  College or career training  Healthy support network  High school education or GED  Realistic coping strategies  Realistic understanding of barriers  Realistic view of daily needs/obligations  Self-sufficient, able to meet own needs |

|  |  |
| --- | --- |
| **Methods of behavior management** | |
| **Risk Factors**  Can’t articulate discipline strategies  Can't articulate how to manage beyond control behaviors  Can’t articulate how to manage tantrums, rages  Inconsistant discipline  Methods of discipline result in injury to child  Severe or harsh discipline  Unable to assess (due to inability to interview)  Unable to manage child’s behavior  Unusual/bizarre discipline  Uses no discipline or fails to follow through | **Protective Factors**  Balances teaching and discipline  Discipline techniques corroborated by collaterals  Uses age appropriate discipline  Willingness to learn appropriate discipline techniques |
| No Risk Factors |  |

|  |  |
| --- | --- |
| **Attitude Toward Caretaking**  **Risk Factors**  Articulates inappropriate expectations for child  Caretaker self-reports may harm child  Describes child in negative terms  Doesn’t follow through with required medical  treatment  Fails to protect child  Fails to supervise child  Frustrated by parenting duties  Inability to recognize situational risks to child  Not attached to the child  Puts personal needs before child  Unable to assess (due to inability to interview)  Uses poor judgment in choosing caregivers  No Risk Factors | **Protective Factors**  Attached to the child  Demonstrates cooperation with child’s service providers  Has realistic expectations of child  Meets child’s needs  Parent seeks and follows medical advice  Prioritizes the child’s safety  Receives satisfaction being a parent  Recognizes dangerous situations |

|  |  |
| --- | --- |
| **CPS/APS/Criminal History**  **Risk Factors**  Adult is registered sex offender  Parental rights on a child involuntarily terminated  Prior convictions involving drugs/alcohol  Criminal “versatility”: variety of types of convictions  Prior felony convictions involving weapon/violence  Prior revocation of parole/probation  Prior substantiated reports  Prior substantiation death/near death of another child  Action or lack of action contributed to death/serious harm of a child  Multiple prior reports not accepted for investigation  Prior unsubstantiated reports  No Risk Factors | **Protective Factors**  Acknowledges responsibility for prior charges  Acknowledges responsibility for child welfare allegations  No criminal charges  No felony convictions  No prior CPS/APS history  Non-violent/traffic offenses  Other rehabilitative services  Received treatment/rehabilitative services related to prior sexual abuse |

|  |
| --- |
| **Notes** |

**Section 5: Maltreatment**

**Injuries/Conditions**

|  |  |
| --- | --- |
| **Check all that apply** | |
| **Child’s injuries/conditions as a Result of Abuse/Neglect (select all that apply)**  Observable injury  Internal injury  Injured in a critical area of the body  Emotional injury as documented by a QMHP  Has a sexually transmitted disease  Sexually abused  Abuse/neglect did not result in injury  Child had no maltreatment  No issues |  |

|  |
| --- |
| **Describe injury/condition in specific terms (size, shape, location, color, impact of abuse):** |

**Check all that apply**

|  |
| --- |
| **Did the child receive medical treatment or evaluation during this investigation/assessment (select all that apply)**  EMS  General Practioner  Child Advocacy Center  ER/urgent care  Local hospital  Mental health evaluations  No medical evaluation/treatment  Pediatrician |

**Neglect**

|  |  |
| --- | --- |
| **Check all that apply**  **Hygiene/clothing**  Clothing does not protect from elements  Illness or exposure due to clothes  Regularly wears soiled clothes  Repeated infestations of lice/bedbugs  No issues | **Food**  Dehydration  Dietary needs not met  Feeding children non-human food items  Food poisoning  Malnourished  Symptoms of failure to thrive  No issues |

|  |  |
| --- | --- |
| **Check all that apply**  **Educational**  Numerous unexcused absences  No issues | **Exploitation**  Engages child in criminal activities  Uses child’s financial resources for personal gain  Victim of Human Trafficking  No issues |

|  |
| --- |
| **Check all that apply**  **Medical**  Failure to seek medical attention  Life threatening unmet health needs  Unmet health needs may have long term effects  No issues |

**Risk of Harm**

|  |  |
| --- | --- |
| **Check all that apply**  **Risk of Physical Abuse**  Child has fear of caretaker  Caretaker has caused death/serious injury to a child  Child exposed to bizarre forms of punishment  No issues | **Risk of Sexual Abuse**  Caretaker has previous sex abuse finding or conviction  Child exhibiting physical/ behavioral indicators of sexual abuse  Child unsupervised with  person listed on the sex offender registry  No issues |
|  |  |
|  |  |

|  |
| --- |
| **Check all that apply**  **Risk of General Harm**  Caretaker has a prior involuntary TPR on another child  Caretaker self-reports inability to cope  Caretaker self-reports they may harm child  Child allowed to use drugs and/or alcohol  Child born exposed to drugs and/or alcohol  Child or family member threaten with a weapon  DV related incidents are more severe/frequent  Parent’s cannot meet own needs  Per court order, caretaker does not have custody of child  Sibling of a child fatality/near fatality victim  Violation of EPO/DVO puts child in danger  No issues |

|  |
| --- |
| **Maltreatment Notes** |

**Section 6: Chronology Information**

|  |  |
| --- | --- |
| **Investigative Related Data**  Report received:  Assigned by Supervisor:  Inv Worker Received Report:  First Attempt to Make Contact:  First Face to Face Contact Made with Victim:  First FSOS Consultation: | *mm/dd/yyyy*  *mm/dd/yyyy*  *mm/dd/yyyy*  *mm/dd/yyyy*  *mm/dd/yyyy*  *mm/dd/yyyy* |

|  |  |  |  |
| --- | --- | --- | --- |
| **Roles of Individuals**  **Interviewed**  Alleged Perpetrator  Alleged Victim  Attorney  Clergy  Custodial Parent  Day Care Provider  Employer  EMS/Fire Department  Former Spouse | Family Friend  Family Support/Kames  Forensic Consultation  Household Member-Related  Household Member Non-Related  Landlord  Law Enforcement  Medical Provider | | Mental Health Provider  Neighbor  Non-Custodial Parent  Paramour/Partner  Relative  School Personnel  No collateral contact  Spouse |
|  | |  |
|  | |  |  |

|  |  |  |
| --- | --- | --- |
| **Evidence Collected**  Child Care Provider records  Court records  Law Enforcement records  Drug Screen | Medical records  Mental Health records  Other CPS agency records | Photographs  School records  Substance abuse assessment |

|  |
| --- |
| **Investigation narrative:** |

**Section 7: Incident results**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Mental Health-Family Violence-Substance Abuse** | | | | |
| Mental Health  Family Violence  Substance Abuse | οDirectly Contributed  οDirectly Contributed  οDirectly Contributed | οIndirectly Contributed  οIndirectly Contributed  οIndirectly Contributed | οWas a Risk Factor  οWas a Risk Factor  οWas a Risk Factor | οNot Applicable  οNot Applicable  οNot Applicable |

|  |
| --- |
| **Physical Abuse (Check all that apply)**  **Severity 4**  Inflicted injury to a child 4 years or younger  Assault of a child 4 years or younger (including a child injured in a DV incident)  Inflicted injury to a non-mobile child of any age  Bizarre or cruel discipline including restraints, i.e. binding child to chair or locking in a closet  **Severity 3**  Inflicted injury to a critical area of the body in a child 5 years or younger  Assault of any child 12 years or younger (including physical altercation between a child and caregiver)  Method of discipline is excessive or includes threats of harm  **Severity 2**  Physical altercation between parent/caretaker and child 13 years or younger  **Severity 0**  None |

|  |
| --- |
| **Sexual Abuse (Check all that apply)**  **Severity 4**  Sexual abuse or sexual exploitation of a child  Child with a sexually transmitted disease  **Severity 3**  Adults exposing child to sexual activity or pornography  Adults exposing their private parts to a child  **Severity 0**  None |

|  |
| --- |
| **Medical (Check all that apply)**  **Severity 4**  Child with life threatening unmet health needs  **Severity 3**  Unmet health needs may result in future health problems or have cause long term effects  **Severity 2**  Failure to seek medical attention or lack of follow up for non-life threatening situations  **Severity 0**  None |

|  |
| --- |
| **Supervision (Check all that apply)**  **Severity 4**  Abandonment of any child (including parent incapacitated due to drugs or alcohol)  Unsupervised child 7 and under (chronological or developmental)  **Severity 3**  Child ages 8-12 unsupervised for extended periods of time  Parent’s chronic use of drug/alcohol renders them incapable of caring for child  **Severity 2**  Child age 13-15 unsupervised for extended periods of time (consider developmental age of child)  Child allowed to have inappropriate sexual relationships  **Severity 1**  Child left with family/caretaker with no provisions for making educational or medical decisions and no way to contact parent  Caretaker fails to make reasonable efforts to get child to school  **Severity 0**  None |

|  |
| --- |
| **Neglect (Check all that apply)**  **Severity 4**  Extreme hazardous environment  Malnutrition and dehydration due to neglect  **Severity 3**  Insufficient shelter (living in care, under a bridge, in a barn, tent, etc.)  Child’s special dietary needs are not being met resulting in health issues  **Severity 2**  Home has trash or clutter creating fire hazard, unsecured/exposed chemicals, medications or other hazards  Child age 0-7 dressed inappropriately for weather conditions resulting in health issues for child  Financial exploitation to provide financial or material gain for the adult  Poor hygiene in children 0-7 that has negative impact on the child’s health or emotional well-being  **Severity 1**  Home with inadequate heat, food, home cluttered, dirty dishes, etc.  Parent has failed to follow through with getting rid of head lice  Poor hygiene for children age 8 and older that has negative impact on the child’s health or emotional well-being  **Severity 0**  None |

|  |
| --- |
| **Risk of harm (Check all that apply)**  **Severity 4**  Previous child death/near death (due to abuse/neglect or unexplained/undetermined causes)  Child involved in a DV incident  **Severity 3**  Child forced/allowed to engage in a criminal activity, exposed to the distribution of drugs or criminal activity by the parent  Child ages 12 and younger allowed to use drugs/alcohol  **Severity 2**  Child 13 y/p and older allowed to engage in criminal activity including drugs/alcohol  **Severity 0**  None |

|  |
| --- |
| **Emotional (Check all that apply)**  **Severity 3**  Qualified mental health professional has found emotional injury  **Severity 0**  None |

|  |
| --- |
| **Describe child and nay factors that need further explanation:** |

**Section 8: Assessment Results**

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Incident Date | Primary Individual | Alleged Perpetrator | Program/Sub Program | Determination Date | Alleged Perpetrator Role |
|  |  |  |  |  |  |
|  |  |  |  |  |  |

|  |
| --- |
| **Risk Factors**  **Based on your observations, interviews and information collected during this assessment, please rate the following:**  **The most vulnerable child in the family (considering age, development and behavioral needs) (select only one):**  οNot vulnerable-behaviors within normal range, child attached to caregiver, developmentally on track, able to complete tasks of daily living (bathing, feeding, dressing)  οMild-has behaviors that are controlled by medication or therapy, struggles with some subjects in school, can usually complete tasks of daily living without assistance  οModerate-often has problematic behaviors that interfere with functioning, can generally communicate needs, mild developmental delays, requires assistance with tasks of daily living  οSevere-physical or mental illness that requires intensive treatment, behaviors are out of control, difficulty in communication needs  οExtremely vulnerable-physical disability requiring life sustaining care, not attached to caregiver, non-mobile or very limited mobility, nonverbal, unable to complete tasks of daily living  **The primary caregiver’s ability to manage daily life/stress and attitude toward caregiving (select only one):**  οNo concerns-Satisfied being a parent, balances teaching with discipline, realistic coping strategies, and healthy support system  οMild-Mostly satisfied with parent/caretaker role, has some community/family supports  οModerate-Sometimes uses positive methods to deal with conflict, Physical or mental impairment limits ability but accepts assistance, Inconsistent in providing basic care, nurturing and/or support  οSevere-Non-offending parent does not believe maltreatment occurred, has unstable relationships, relies on others to meet children’s needs, overwhelmed by responsibilities, unable/unwilling to plan ahead, unsatisfied with parent/caretaker role  οExtreme concerns-Puts perpetrator needs before family’s needs, fails to supervise the child, not attached or describes the child in negative terms, inability to recognize risks to the child, very dissatisfied with parent/caretaker role  **The perpetrator’s access to the child and high risk patterns/behaviors (select only one):**  οNo concerns-Verified no perpetrator access, No threats/use of violence, recognizes/manage threats/dangers to child, identifies high risk times and appropriate responses  οMild-Limited perpetrator access, situational stress-linked to services to manage, usually can verbalize high-risk times/trigger-respond appropriately, problem-solving skills can be increased with supports; First occurrence-parent is remorseful  οModerate-Limited self-control in caretaking or disciplining-no injury, Alcohol/Drug abuse (including prescription drug) impacts caretaking, Unrealistic expectations based on the child’s strengths/limitations, history of violence  οSevere-Uses threats to manage conflict, Incapacitated from drugs/alcohol, unable to verbalize high-risk times/triggers, History of intergenerational family violence, criminal charges  οExtreme concerns-Child resides with perpetrator, Actions resulted in serious physical injury, Expresses fear they will harm child, Parent justify maltreatment as cultural/religious practice, Previous involuntary TPR, Perpetrator unknown |

|  |  |
| --- | --- |
| **Outcome** | **Plan** |
| οClose Referral | Prevention Plan |
| οIn home ongoing case  οOut of home ongoing case | Aftercare Plan |

|  |
| --- |
| **Assessment Conclusion** |